



525 Rec'd PCT/PT 08 JUL 2002 ^{PCT}

PATENT
(Practitioner's Docket No. IN-5550)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Lutz-Werner GROSS
Ludwig MOORKAMP
Heinz-Ulrich GRUMPE
Ulrich POTH

Serial No.: 10/030,307

Filed: July 1, 2002

For: AQUEOUS COATING SUBSTANCE,
METHOD FOR ITS PRODUCTION AND
ITS USE

RECEIVED

JUL 22 2002

TC 1700

Group Art Unit: Not Assigned

Examiner: Not Assigned

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as First Class Mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on Monday, July 01, 2002.


Marjorie Ellis

**Communication Regarding Notification of Missing
Requirements Under 35 U.S.C. 371**

Hon. Assistant Commissioner for Patents
Washington, D.C.

Sir:

On April 4, 2002, Applicants received a Notification of Missing Requirement Under 35 U.S.C. 371 for the above-identified patent application.

On February 28, 2002, Applicants submitted to the PTO an original executed Combined Declaration and Power of Attorney in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date..

As proof that the document was timely filed, Applicants submit true copies of the following documents.

- Notice of Acceptance of Application Under 35 U.S.C. 371 and 37;
- Notification of Missing Requirements Under 35 U.S.C. 371;
- Filing Receipt.

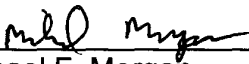
Applicant's Attorney verifies that the copies are true copies.

In view of the above stated facts and documentation, Applicants assert that the Combined Declaration and Power of Attorney was timely filed, and the Notification of Missing Requirements Under 35 U.S.C. 371 was in error.

Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 23-3425.

This form is submitted in duplicate.

Respectfully Submitted,



Michael F. Morgan
Registration No. 42,906

Monday, July 01, 2002
BASF Corporation
26701 Telegraph Road
Southfield, Michigan 48034-2442
(248)-948-2355



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 400
 United States Patent and Trademark Office
 Washington, D.C. 20231
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| | | |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/030,307 | Lutz-Werner Gross | IN-5550 |

26922
 BASF CORPORATION
 ANNE GERRY SABOURIN
 26701 TELEGRAPH ROAD
 SOUTHFIELD, MI 48034-2442



| | |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. | |
| PCT/EP00/06286 | |
| I.A. FILING DATE | PRIORITY DATE |
| 07/05/2000 | 07/12/1999 |

CONFIRMATION NO. 8789
 371 ACCEPTANCE LETTER



OC00000008112069

Date Mailed: 05/20/2002

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

| | |
|---|--|
| <u>02/26/2002</u> | <u>02/26/2002</u> |
| DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS | DATE OF RECEIPT OF ALL 35 U.S.C. REQUIREMENTS |

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments

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JUL 22 2002

TC 1700

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

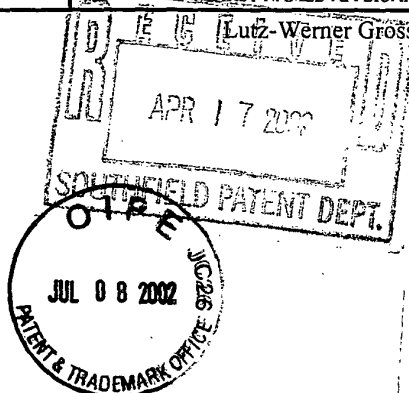


UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
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| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/030,307 | Lutz-Werner Gross | IN-5550 |

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|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. | |
| PCT/EP00/06286 | |
| I.A. FILING DATE | PRIORITY DATE |
| 07/05/2000 | 07/12/1999 |

CONFIRMATION NO. 8789
371 FORMALITIES LETTER



OC000000007838109

Date Mailed: 04/11/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments

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JUL 22 2002

TC 1706

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

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PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/030,307 | PCT/EP00/06286 | IN-5550 |

FORM PCT/DO/EO/905 (371 Formalities Notice)

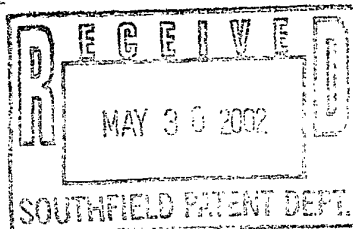


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 10/030,307 | 02/26/2002 | 1755 | 1474 | IN-5550 | | 38 | 3 |

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CONFIRMATION NO. 8789

FILING RECEIPT



OC00000008112068

Date Mailed: 05/20/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Lutz-Werner Gross, Haltern, GERMANY;
Ludwig Moorkamp, Munster, GERMANY;
Heinz-Ulrich Grumpe, Senden, GERMANY;
Ulrich Poth, Munster, GERMANY;

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JUL 22 2002

TC 1,00

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/EP00/06286 07/05/2000

Foreign Applications

GERMANY 199 32 497.2 07/12/1999

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

Title

Aqueous coating substance, method for its production and its use

Preliminary Class

106

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).